TO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

## U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES H0004251 DESIGNATED/ELECTED OFFICE (DO/EO/US) see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE 08 August 2003 PRIORITY DATE CLAIMED 08 August 2003 INTERNATIONAL APPLICATION NO. PCT/US2003/025029 08 August TITLE OF INVENTION SURGE CONTROL SYSTEM FOR A COMPRESSOR APPLICANT(S) FOR DO/EO/US HONEYWELL INTERNATIONAL INC. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X (Originally filed in English) is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. **X** Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Unexecuted An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Unexecuted An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15. L A power of attorney and/or change of address letter.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a be nefit by the public, which is to file (and by the Inis collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

16. L

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U.S. CF 7.1SA		%, see 37 CFR 1.5	08 August 20	03	08	August-2003	RET NOWBER
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The foll	owing fees have	e been submitted			CA	LCULATIONS	PTO USE ONLY
21. 🔀 Basic national fee (37 CFR 1.492(a))					\$	300.00	
22.	nination fee (37	CFR 1.492(c))	· · · · · · · · · · · · · · · · · · ·				
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Total claims		- 20 =		x \$ 50	\$	0	
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Applicant cla	ims small entity	status. See 37 CF	R 1.27. Fees above are reduc			<del></del>	
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Processing fee or claimed priority d			n translation later than 30 mont	hs from the earliest +	\$		
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						40.00	: 
			TOTAL F	EES ENCLOSED =	\$	740.00	
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been m and granted to restore the International Application to pending status.	et, a petition to revive (37 CFR 1.137(a) or (b)) must be filed				
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